

Aspects of Open Access for research libraries: a view from UCL

Martin Moyle
Assistant Director, Support Services
UCL Library Services

Belgrade, 16 September 2015

Topics

1. Open Access Theses and DART-Europe
2. Open Science and Research Data Management
3. Campus-based Open Access Publishing: the UCL Press

Topics

1. Open Access Theses and DART-Europe
2. Open Science and Research Data Management
3. Open Access University Publishing: the UCL Press

Why Open Access theses?



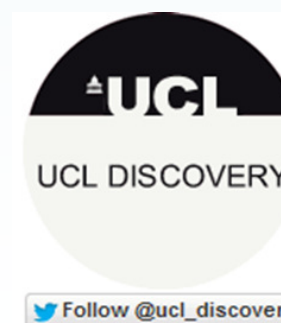
- Often contain unique, cutting-edge research
- Highlight the work of early-career researchers
- They showcase research for a university; can lead to new collaborations; can help recruit Graduates
- Can be accompanied by interesting new datasets, models, multimedia, etc
- Openness makes plagiarism easier to detect
- Help new researchers become accustomed to OA

Impact of OA theses

Rank	Paper	Download
1	Marmot, M; Atinmo, T; Byers, T; Chen, J; Hirohata, T; Jackson, A; ... Zeisel, S; + view all (2007) Food, Nutrition, Physical Activity, and the Prevention of Cancer: a Global Perspective . (WCRF/AICR Expert Report). World Cancer Research Fund / American Institute for Cancer Research: Washington DC, US.	9568
2	Liu, Q.; (2010) Efficiency analysis of container ports and terminals . Doctoral thesis, UCL (University College London).	8714
3	Keval, H.U.; (2009) Effective design, configuration, and use of digital CCTV . Doctoral thesis, UCL (University College London).	7160
4	Kassem, A.H.; (2006) The legal aspects of seaworthiness: current law and development . Doctoral thesis, Swansea University.	6482
5	Brennan, F.P.; (1992) Fatigue and fracture mechanics analysis of threaded connections . Doctoral thesis, University of London.	6352
6	Pheng, L.S.; (1990) Marketing theories and concepts for the international construction industry: a study of their applicability at the global, national and corporate perspectives . Doctoral thesis, University of London.	5966
7	van Ree, H.J.; (2010) Service quality indicators for business support services . Doctoral thesis, UCL (University College London).	5196
8	Bowlby, R; (2006) "I had Barbara": Women's ties and Wharton's "Roman Fever". DIFFERENCES-A JOURNAL OF FEMINIST CULTURAL STUDIES , 17 (3) 37 - 51. 10.1215/10407391-2006-010 .	5129
9	Manoochehri, J.; (2010) Social policy and housing: reflections of social values . Doctoral thesis, UCL (University College London).	4602
10	Mazzara, F.; Philippopoulou, D.; (2001) The challenge of translating Brian Friel's translations .	4514
11	Steadman, P; (2006) Why are most buildings rectangular? Architectural Research Quarterly , 10 (2) 119 - 130. 10.1017/S1359135506000200 .	4449
12	Gutt, E.A.; (1989) Translation and relevance . Doctoral thesis, University of London.	4329
13	Fletcher, T.S.B.; (2012) Machine learning for financial market prediction . Doctoral thesis, UCL (University College London).	4239
14	Gabriel, Z; Bowling, A; (2004) Quality of life from the perspectives of older people . Ageing and Society , 24 (5) 675 - 691. 10.1017/S0144686X03001582 .	4224
15	Wujastyk, D; (2009) A Body Of Knowledge: The Wellcome Ayurvedic Anatomical Man And His Sanskrit Context . Asian Med (Lieden) , 4 (1) 201 - 248. 10.1163/157342109X423793 .	3880
16	Adell, German; (1999) Theories and models of the peri-urban interface: a changing conceptual landscape . Development Planning Unit, UCL: London, UK.	3800
17	Latif, A.; (1999) A study of the design of fluidized bed reactors for biomass gasification . Doctoral thesis, University of London.	3791
18	Donoghue, HD; Holton, J; (2009) Intestinal tuberculosis . CURR OPIN INFECT DIS , 22 (5) 490 - 496. 10.1097/QCO.0b013e3283306712 .	3685
19	Abram, C.; (2003) Representations of the pagan afterlife in medieval Scandinavian literature . Doctoral thesis, University of Cambridge.	3599
20	Eck, J; Chainey, S; Cameron, J; Wilson, R; (2005) Mapping crime: Understanding Hotspots . National Institute of Justice: Washington DC.	3576

Top 20 most-downloaded records in the UCL institutional repository, 2014

11 theses in top 20




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<http://discovery.ucl.ac.uk/19215>



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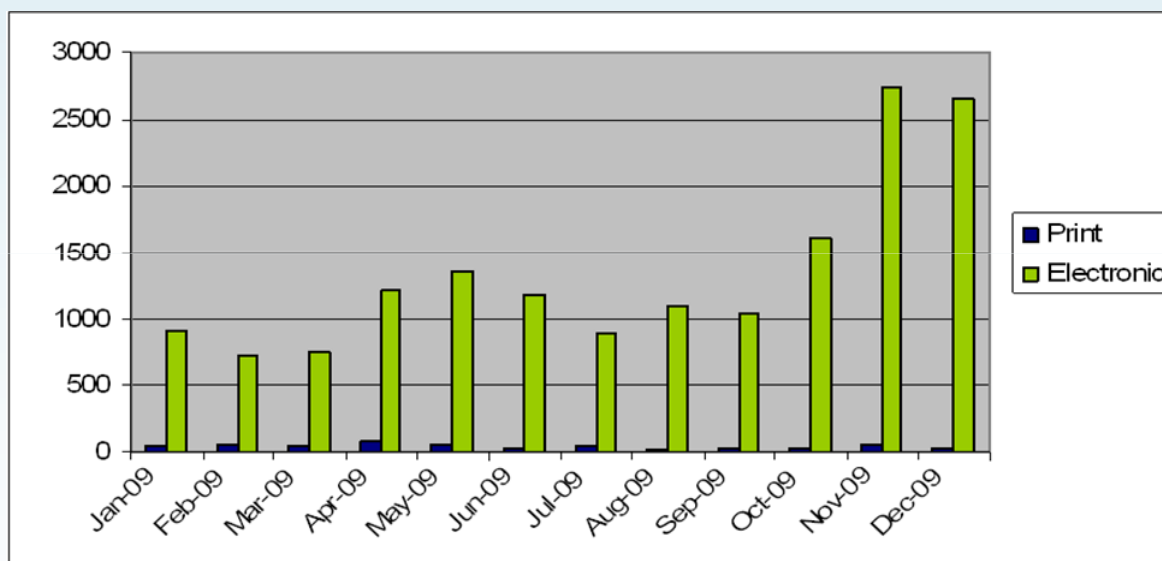
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Impact of electronic theses vs print theses

Hill, R.; Moyle, M.; (2010) Investigating the impact of e-theses at DCU.

<http://discovery.ucl.ac.uk/19955>



Study of thesis usage at Dublin City University, 2009 calendar year


- **518** print thesis consultations (of entire collection of theses)
- **16,212** electronic thesis downloads (of 179 OA theses)

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Bauer, Frank Heinz, (1999) Added 09 September 2015

[Comparison and optimization of modern radiotherapy techniques. Βελτιστοποίηση και αξιολόγηση σύγχρονων τεχνικών ακτινοθεραπείας](#)
Andreou, Maria, (2015) Added 09 September 2015

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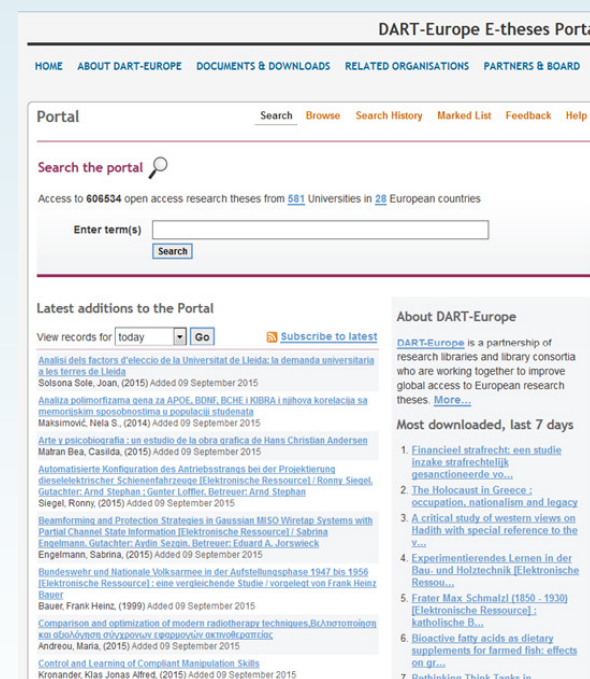
- A discovery service for open access, research-level theses
- Run by UCL (unfunded)
- Metadata is harvested from European Universities and aggregators
- All theses OA



DART-Europe Portal: content

At 09 September 2015:

- 606,534 theses
- 581 universities, 28 countries
- Earliest thesis awarded in 1597
- Theses in 47 languages
- Average >200 new theses/day





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<input type="checkbox"/>	Assemblies of Polyoxometalates	Veen, S.J.	2009	Utrecht University	NARCIS
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Title	A methodology for redirected design of bus superstructures regarding their strength
Title	Metodologija usmerenog projektovanja struktura autobusa u pogledu čvrstoće
Publisher	University of Belgrade, Faculty of Mechanical Engineering
Type	PhD thesis
Format	application/pdf
Author	Mitić Saša
Contributor(s)	Demić Miroslav; Maneski Taško; Popović Vladimir; Rakićević Branislav; Vasić Branko
Subject(s)	Absorbed energy, Apsorbovana energija, Autobus, Bus, Pasivna bezbednost, Passive safety, Plastični zglobovi, Prevrtanje, Redirected design, Rollover, Usmereno projektovanje
Abstract	Rollover is among the most common form of bus accidents. Bus safety issues include, among others, the number of passengers that can be in a bus at the same time, limited extent to which passive (safety belts, airbags, etc.) can be used, and a relatively low rollover stability of this type of vehicle. Construction requirements therefore include solutions for improved rollover safety as a part of the design process. Within this dissertation, the developed methodological approach of superstructure forming is presented, which represent basic elements of superstructure strength. Starting action is on defining of plastic deformation zones during rollover and determination of located plastic deformation characteristics. Then, discretized numerical beam models are formed, with experimentally determined characteristics and calculated values of absorbed energy. Achieved results enable implementation of discretized models into the further designing process where, through higher level of numerical analysis, we get basic characteristics of superstructure modular rings. Finally, assumed methodology has been verified with experimental verification on real physical model of superstructure modular ring.
Abstract	Prevrtanje predstavlja jedan od najčešćih vidova autobuskih nezgoda. Parametri bezbednosti autobusa uzimaju u obzir, između ostalog, veliki broj putnika koji se istovremeno nalaze u autobusu, ograničena mera pasivne bezbednosti koje se mogu koristiti (sigurnosni pojasevi, vazdušni jastuci, itd.), relativno niska bočna stabilnost ovih vozila. Zbog toga zahtevi za konstrukciju autobusa uključuju rešenja za povećanje bezbednosti vozila protiv prevrtanja, kao deo procesa projektovanja. U okviru doktorske disertacije predstavljen je razvijen metodološki pristup formiranja modularnih prstenova nadgradnje, koji predstavljaju osnovne noseće elemente čvrstoće konstrukcije. Početne aktivnosti zasnivaju se na definisanju osnovnih karakteristika deformacija prilikom prevrtanja i određivanju karakteristika lociranih plastičnih zglobova. Zatim su diskretizovani numerički gredni modeli, sa eksperimentalno definisanim karakteristikama i posredstvom vrednostima apsorbovane energije. Dobijeni rezultati omogućavaju uključivanje diskretizovanih modela u proces projektovanja, gde se kroz viši nivo numeričke analize dobijaju osnovne karakteristike modularnih prstenova nadgradnje. Konačno, pretpostavljena metodologija potvrđena je eksperimentalnom verifikacijom na realnom fizičkom modelu prstena nadgradnje.
Date	2012-06-21
Identifier	http://dx.doi.org/10.2298/BG20120621MITIC
Identifier	http://etez.bq.ac.rs/application/showtheses?thesesId=353

UNIVERZITET U BEOGRADU
MAŠINSKI FAKULTET

Saša R. Mitić

METODOLOGIJA USMERENOG PROJEKTOVANJA STRUKTURA AUTOBUSA U POGLEDU ČVRSTOĆE

- doktorska disertacija -

Beograd, 2012.

DART-Europe: who benefits?

- Universities and consortia
 - Helps to raise visibility
 - Easy to submit thesis records
- Thesis authors
 - Added exposure for work
- Researchers
 - A single venue for current awareness and discovery
 - Open Access means that all DART theses are accessible in full text





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DART Portal usage: last 12 months

- 445,523 sessions
- 1,845,312 pageviews
- 191,290 click-throughs to full text
- Most-downloaded (697) -

Vergleichende Untersuchungen zur diagnostischen Relevanz von verschiedenen Immunoassay-Testsystemen zum Nachweis von Anti-dsDNA-Autoantikörpern beim Systemischen Lupus Erythematoses (SLE) des Menschen [Elektronische Ressource] / Waka Wassie Aderajew. 2014.

<http://www.dart-europe.eu/full.php?id=996775>

Sessions by country

1.	 Iran	84,322
2.	 Germany	50,513
3.	 France	35,197
4.	 United States	22,806
5.	 Spain	17,394
6.	 United Kingdom	17,249
7.	 Italy	14,815
8.	 Greece	10,805
9.	 Poland	10,415
10.	 India	10,157
11.	 Algeria	9,379
12.	 Netherlands	8,718
13.	 China	6,906
14.	 Hungary	6,381
15.	 Morocco	5,675
16.	 Serbia	5,444
17.	 Portugal	5,158
18.	 Canada	4,735
19.	 Lithuania	4,657
20.	 Turkey	4,622

Next steps

- Can the Serbian libraries contribute more OA theses to DART-Europe?
- Can the Serbian libraries promote the DART-Europe Portal to their researchers?

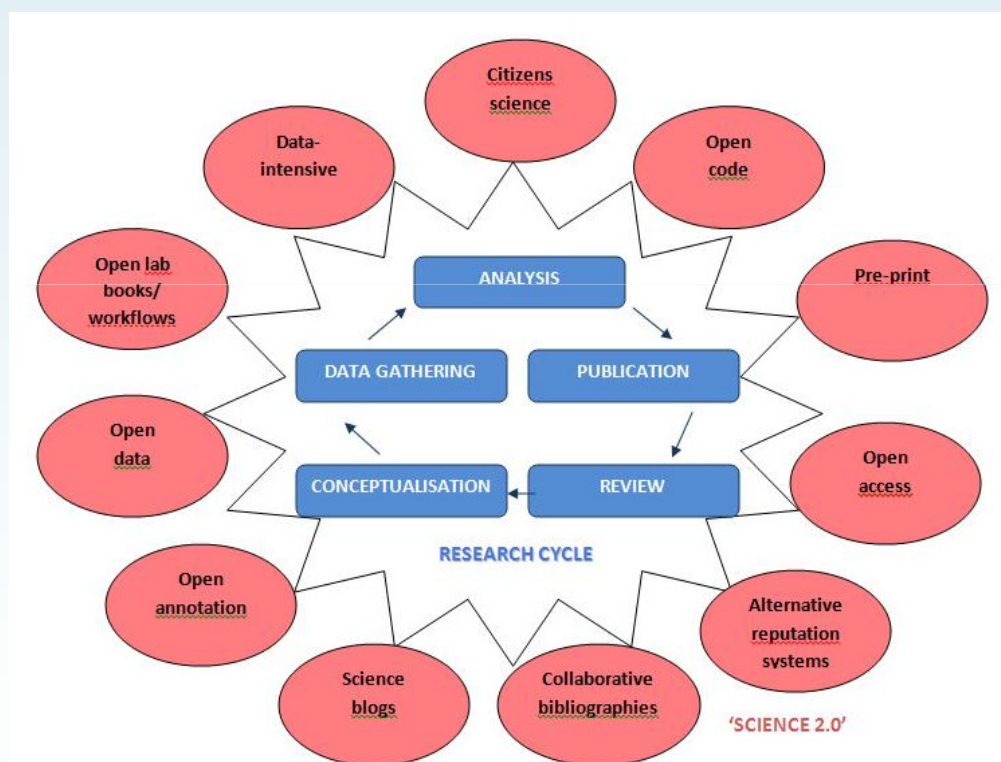


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Open Science

- an ongoing paradigm shift in the *modus operandi* of research and science impacting the entire scientific process



Drivers

- Growing researcher numbers
- Technology opportunities
- Society's 'Grand Challenges'
- Digital native researchers
- Increased expectations around integrity and accountability

Characteristics

- Increase in scientific production
- Open research collaborations
- More actors in the process
- Data-intensive science

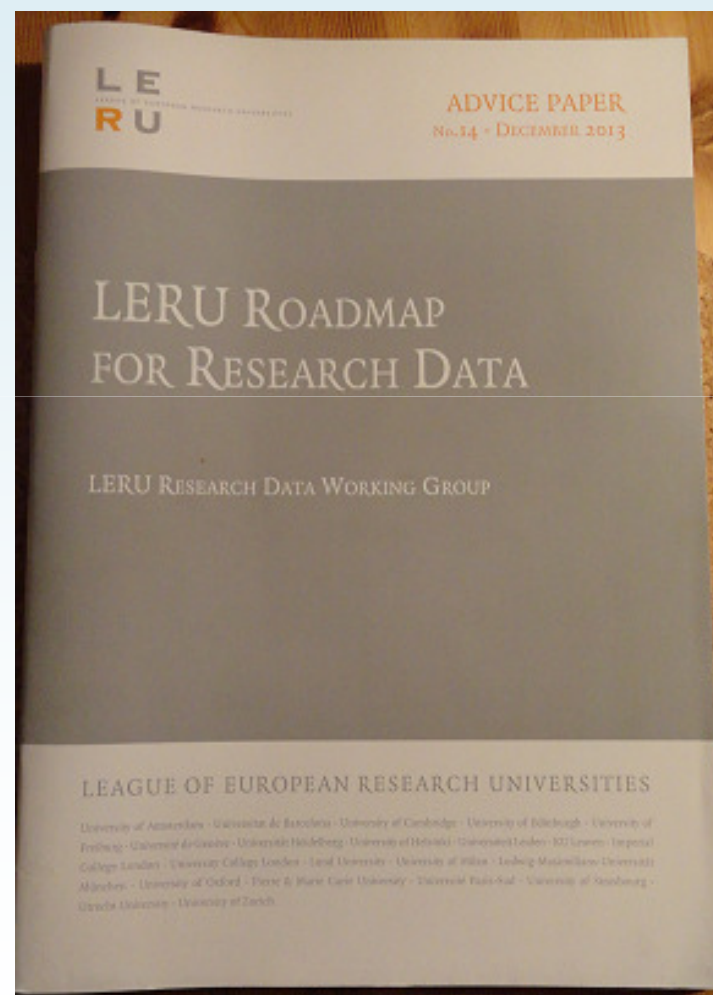
90% of all the world's data was generated in the last 2 years

See EC Science 2.0: Science in Transition: <http://scienceintransition.eu/>

LERU Roadmap for Research Data

- Overseen by Research Data Working Group

Pablo Achard (University of Geneva)
 Paul Ayris (UCL, University College London)
 Serge Fdida (UPMC, Paris)
 Stefan Gradmann (University of Leuven)
 Wolfram Horstmann (University of Oxford)
 Ignasi Labastida (University of Barcelona)
 Liz Lyon (University of Bath)
 Katrien Maes (LERU)
 Susan Reilly (LIBER)
 Anja Smit (University of Utrecht)



Policy and Leadership

- Identifies how policy development and leadership are undertaken

Advocacy

- Who undertakes advocacy and what is the message?

Selection, Collection, Curation, Description, Citation, Legal Issues

- Technical Issues around collection and curation

Research Data Infrastructure

- Where is it stored and by whom?

Costs

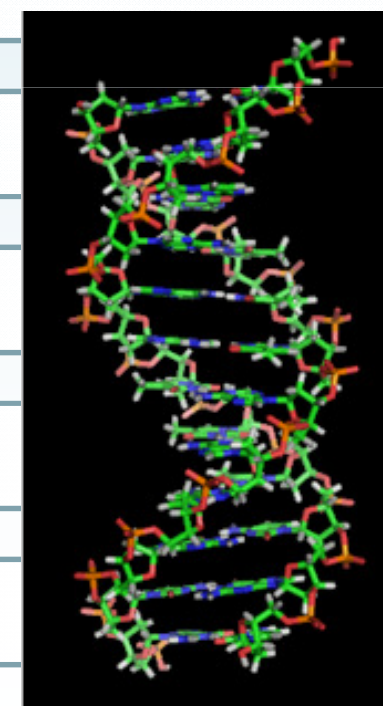
- How much does it cost?

Roles, Responsibilities, Skills

- What skills are required by which communities?

Recommendations to different stakeholder groups

- Who does what?



Key Messages

- Each LERU university needs a Research Data Management Strategy
- Researchers should have Research Data Management Plans
- LERU universities need to bring stakeholders together
- Benefits of 'open data' for sharing and re-use should be advocated and explored



A Box of Useful Knowledge
(Brougham Papers, UCL Library Services)

Open Data

- Open Data allows research data to be shared and re-used
 - Avoids costly duplication of research activity
 - Provides greater transparency in research activity
 - Potential to speed discovery of solutions to societal Grand Challenges, such as health care & environmental science

- Can all research data be open?
- Certain categories probably cannot
 - National security
 - Data protection
 - Commercial Funder requirements



http://en.wikipedia.org/wiki/File:Open_Data_stickers.jpg



- ❑ LIBER has produced helpful guidance for libraries
 - ❑ 10 Recommendations on how to get started in RDM
 - ❑ <http://libereurope.eu/blog/2014/07/16/workshop-report-libraries-and-research-data-management/>
 - ❑ 11 LIBER RDM Case Studies
 - ❑ <http://libereurope.eu/committee/scholarly-research/>

UCL's performance against LIBER targets



LIBER target

1. Offer RDM support

6. Provide services for storage, discovery and permanent access

2. Engage in metadata services

7. Apply persistent identifiers to research data

3. Engage in professional skills development

8. Provide an institutional data catalogue

4. Engage in policy development

9. Engage in subject-specific data management

5. Create partnerships for interoperable infrastructures

10. Offer storage solutions

UCL LIBRARY SERVICES

This is the Silva Sandbox.



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Research Data Management

Retention Data Management Plans
Sharing Licences Metadata Using personal data
Creating Re-use DOIs Copyright Organising data
Constraints Collecting Funders Archiving

Information & How-to guides

What is Research Data Management?



Research Data Management covers all of the decisions made during the research lifecycle to handle research data, from the planning stage of your project up to the long-term preservation of your data. [Read more...](#)

More key definitions can be found in our

Guides

- [Storing & preserving data](#)
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- [Finding & re-using data](#)
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FAQs

Latest posts

What is metadata?

Thu, 27 Aug 2015 14:29:42 +0000

Metadata are commonly defined as "data about other data", i.e. a description of your data, including key information that would make them discoverable.

[➤ Read more...](#)

What is research data?

Thu, 27 Aug 2015 14:26:58 +0000

UCL Research Data Support Officer appointed April 2015

LEARN – LEaders Activating Research Networks

Purpose

- to develop the LERU Roadmap for Research Data to build a global co-ordinated global e-infrastructure

Partners

UCL (lead partner)
University of Barcelona
University of Vienna
LIBER
ECLAC – UN Commission for Latin America and the Caribbean

Dates

June 2015 – June 2017

Horizon 2020

Call: H2020-INFRA-SUPP-2014-2

Topic: INFRA-SUPP-7-2014

Type of action: CSA

Outputs

- Model Research Data Management policy
- Toolkit to support implementation
- Executive Briefing in five core languages so as to ensure wide outreach

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Institutional OA publishing - drivers

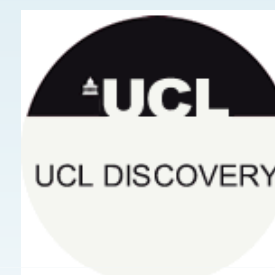
- Publishing technology is increasingly accessible
- Issues with current models
 - rising costs of monographs
 - harder for early-career researchers to publish
 - publisher resistance to site licensing for text books
- OA currently has more traction in STEM subjects than in monograph-based disciplines
- Global impact of OA for a University is demonstrable



UCL Discovery downloads

Jan 2010-July 2015

	Q1	Q2	Q3	Q4	Total
2015	516,951	584,026	60,468		1,161,445
2014	430,828	468,646	436,568	485,947	1,821,989
2013	334,159	343,262	320,162	379,428	1,377,011
2012	213,402	245,836	229,864	349,688	1,038,790
2011	146,748	155,152	107,601	175,464	584,965
2010	117,514	133,024	128,924	146,690	526,152



Lifetime downloads (from Feb 08):	6,996,998
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Institutional OA publishing - challenges

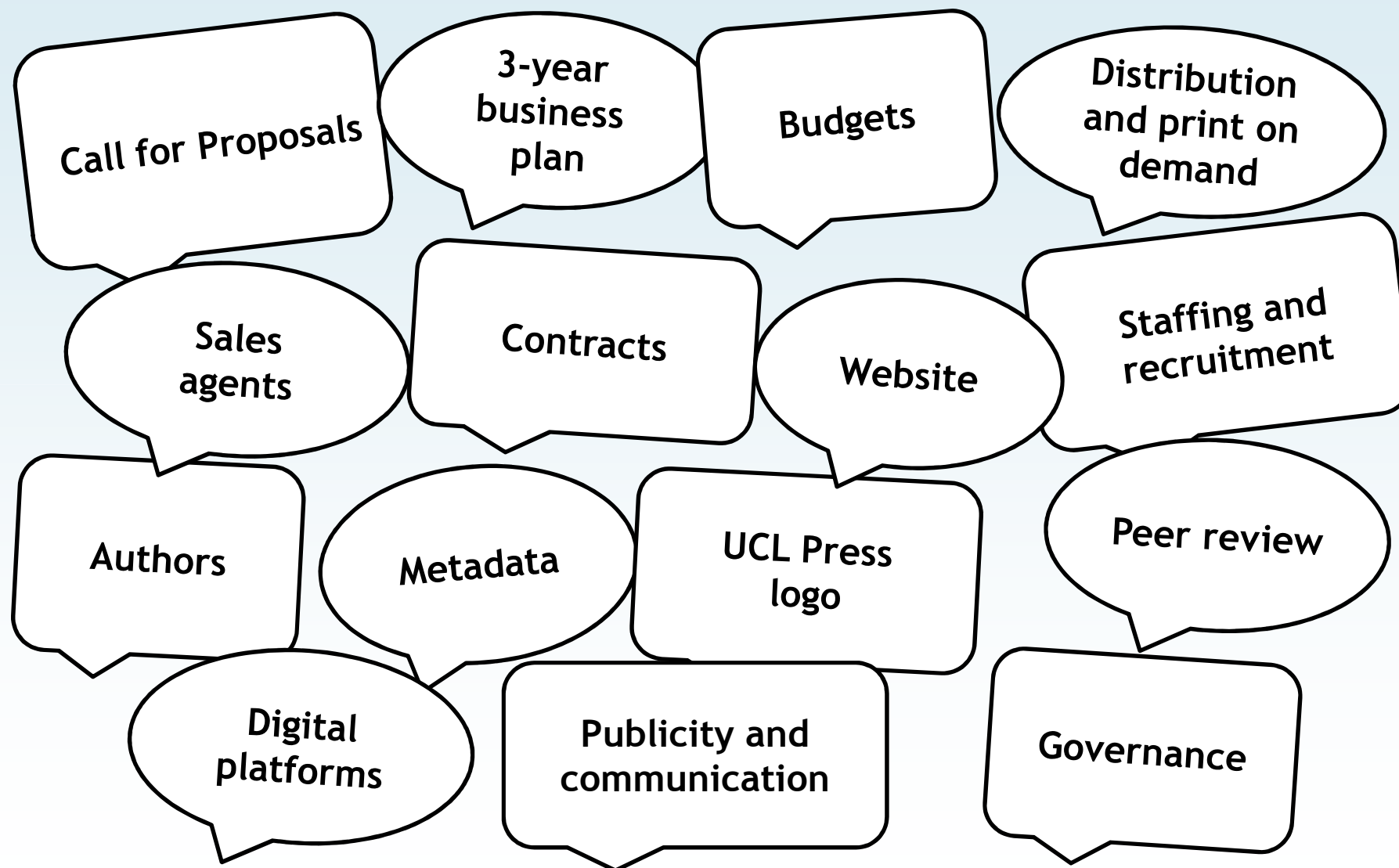
- Publishing knowledge and expertise
- Scalable, sustainable business model
- Competing with established brands





- UCL Press imprint was established in 1990 with a commercial publisher; it became dormant
- In 2013 it was re-established by UCL as a Department of the Library
- Formal launch in June 2015 as the UK's first fully Open Access University Press
- UCL Press publishes books (scholarly monographs, textbooks, edited volumes, scholarly editions) and journals

Setting up



Books

- Book Processing Charge of £5,000 - waived for UCL members
- All book proposals are peer-reviewed
- 3 books published to date; 5 more due in Autumn 2015



- Plans for 20-25 books in 2016 and 30-35 in 2017
- Basic versions are free; other formats are charged
 - All titles available OA (PDF)
 - Print-on-demand and ebook versions chargeable
 - Highly-illustrated colour titles printed for stock in hardback and paperback; also available in free 'enriched' versions

The Petrie Museum

 UCLPRESS

[Introduction](#)
[Characters of the Petrie](#)
[Religion and Ritual](#)
[Figures](#)
[Rulers](#)
[Women in Egyptology](#)

Welcome

About the book:

The Petrie Museum of Egyptian Archaeology first opened its doors in 1915, and since then has attracted visitors from all over the world. Named after its founder, the pioneering archaeologist Flinders Petrie, the Museum holds more than 80,000 objects and is one of the largest and finest collections of Egyptian and Sudanese archaeology in the world. Experts discuss the discovery, history and care of key objects in the collections such as the Koptos lions and Roman era panel portraits. The rich and varied history of the Petrie Museum is revealed by the secrets that sit on its shelves.

About this edition:

This enhanced ebook edition offers many bonus features:

- Video, audio and 3D content
- Deep zoom features allow users to magnify the detail of some of the wonderful objects from the Petrie collections
- Slideshows bring images to life
- The navigation bar at the top of the screen offers easy navigation of all 36 articles by theme. The tabs across the top of the screen or Search function can also be used to browse content.



Statuette of a husband and wife from Dynasty 18 (1352–1292 BC), from the Amelia Edwards collection (UC15513).



Video

Audio

Slideshows

Deep zoom

Music/podcasts

3D

Currently being developed as an OA web platform for **all** UCL Press books

Journals

Two journal services offered:

Publishing service

- Ingenta Connect platform
- Proposals must be accepted by UCL Press Board
- APCs for non-UCL authors
- 3 titles to date; 10 more proposals under consideration



Hosting service

- Available to UCL staff and students
- Uses OJS software over UCL Discovery
- No charge to editors

UCL Open Access Journals

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UCL Open Access Journals

Think Pieces: A Journal of the Arts, Humanities, and Social Sciences

Think Pieces aims to showcase exciting, up-and-coming work from a community of scholars, both students and academic staff, at University College London approaching one topic from a cross-disciplinary perspective. This journal is about *opening discussions*, and inviting varied approaches, methodologies, and academic backgrounds to interact in response to a specific prompt that interrogates an idea, issue, or construct, whose scholarly interpretation is truly multifaceted.

Think Pieces is a space for focused collections of papers, including those presented at the JFIGS Forums and other meetings within the Joint Faculty, to be accessible to a wider audience interested in the development of cross-disciplinary discussion and collaboration in Arts, Humanities, and Social Sciences at UCL.

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UCL Journal of Law and Jurisprudence

The *UCL Journal of Law and Jurisprudence* is a law journal edited and published by students of UCL Laws. The Journal publishes scholarly contributions from academics, researchers and practitioners, as well as showcasing outstanding research of post-graduate students at UCL. It accepts

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Staffing

November 2013

- Publishing Manager

2014

- Managing Editor

2015

- Marketing and Distribution Editor
- Commissioning Editor

Coming in 2016

- Journals Manager
- Administrative Assistant



Working with...

Freelance

Copy Editors
Proofreaders
Designers
Indexers

Suppliers

Printers
Digital developers
Typesetters
Print on demand

Services

Distribution and warehousing
Sales agents



Partners / Platforms

OAPEN

Amazon



Success measures

- OA downloads
- Usage of enhanced editions
- Demand from authors
 - Over 100 book proposals received (80% UCL authors)
 - Top subjects: archaeology, anthropology, architecture, history
- Sales figures
- Reviews
- Social media activity, e.g. Twitter



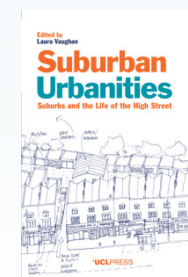
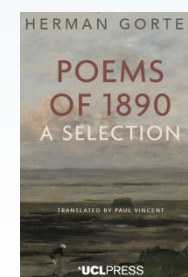
Conclusion

- UCL Press is part of UCL's commitment to OA
- It shows UCL taking charge of the dissemination of its research
- UCL Press benefits UCL by raising the visibility, accessibility and use of its research, for academics, policy-makers, industry and the public

“...a 21st century approach to the dissemination of knowledge and wisdom”

Professor Michael Arthur, Provost and President of UCL

Coming soon...



Thank you

Martin Moyle

m.moyle@ucl.ac.uk

<http://www.ucl.ac.uk/library>